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Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/901,372
	Filing Date	7/9/2001
	First Named Inventor	Stuart Hameroff
	Group Art Unit	1645
	Examiner Name	
Total Number of Pages in This Submission	11	Attorney Docket Number SH-1

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	See 1 in Addendum
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	In partial response to NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION, dated 8/27/2001, applicant herewith encloses 1) the DECLARATION, newly dated and signed by two inventors, Sarah Black and Michael Weiner; 2) 2 sheets of substitute drawings in compliance with 37 CFR 1.84 and with the identifying indicia on the reserve of each drawing, and 3) a check for \$65.00 for the surcharge for late filing of the DECLARATION. This is a partial response to the NOTICE. A newly executed DECLARATION by inventor, Stuart Hameroff, will be submitted at a later date. If additional fees are required, please deduct from Deposit Account 50-1706.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Howard J. Greenwald; Greenwald & Basch LLP
Signature	
Date	10/24/01

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 10/25/01	
Typed or printed name	Howard J. Greenwald
Signature	
Date	10/25/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (12/99)
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FEE TRANSMITTAL

for FY 2000

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$65.00)

Complete if Known

Application Number 09/901,372
Filing Date 7/9/2001
First Named Inventor Stuart Hameroff
Examiner Name
Group / Art Unit 1645
Attorney Docket No. SH-1

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-1706

Deposit Account Name Greenwald & Basch LLP

☒ Charge Any Additional Fee Required
Under 37 CFR §§ 1.16 and 1.17

2. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Code	Small Entity Code	Fee Description	Fee Paid
101	201	Utility filing fee	
106	206	Design filing fee	
107	207	Plant filing fee	
108	208	Reissue filing fee	
114	214	Provisional filing fee	

SUBTOTAL (1) (\$0.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
-20**	X		0
-3**	X		0
Multiple Dependent			0

**or number previously paid, if greater; For Reissues, see below

Large Entity Code	Small Entity Code	Fee Description	Fee Paid
103	203	Claims in excess of 20	
102	202	Independent claims in excess of 3	
104	204	Multiple dependent claim, if not paid	
109	209	** Reissue independent claims over original patent	
110	210	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$0.00)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Code	Small Entity Code	Fee Description	Fee Paid
105	205	Surcharge - late filing fee or oath	65.00
127	227	Surcharge - late provisional filing fee or cover sheet.	0.00
139	239	Non-English specification	0.00
147	247	For filing a request for reexamination	0.00
112	212	Requesting publication of SIR prior to Examiner action	0.00
113	213	Requesting publication of SIR after Examiner action	0.00
115	215	Extension for reply within first month	0.00
116	216	Extension for reply within second month	0.00
117	217	Extension for reply within third month	0.00
118	218	Extension for reply within fourth month	0.00
128	228	Extension for reply within fifth month	0.00
119	219	Notice of Appeal	0.00
120	220	Filing a brief in support of an appeal	0.00
121	221	Request for oral hearing	0.00
138	238	Petition to institute a public use proceeding	0.00
140	240	Petition to revive - unavoidable	0.00
141	241	Petition to revive - unintentional	0.00
142	242	Utility issue fee (or reissue)	0.00
143	243	Design issue fee	0.00
144	244	Plant issue fee	0.00
122	222	Petitions to the Commissioner	0.00
123	223	Petitions related to provisional applications	0.00
126	226	Submission of Information Disclosure Stmt	0.00
581	281	Recording each patent assignment per property (times number of properties)	0.00
146	246	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00
149	249	For each additional invention to be examined (37 CFR § 1.129(b))	0.00
Other fee (specify)			0.00
Other fee (specify)			0.00

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$65.00)

SUBMITTED BY

Name (Print/Type)	Registration No. (Attorney/Agent)	Telephone
Howard J. Greenwald	24,247	716-387-0280
Signature		Date 10/24/01

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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3



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/901,372	07/09/2001	Sarah Black	SH-1

CONFIRMATION NO. 6590

27157
GREENWALD & BASCH, LLP
SUITE 2490
349 WEST COMMERCIAL STREET
EAST ROCHESTER, NY 14445

FORMALITIES LETTER



OC000000006478735

Date Mailed: 08/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/09/2002 AGOITON 00000025 09901372

FILED UNDER 37 CFR 1.53(b)

01 FC 805

65.00 DP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:
Sarah Black & Stuart Hameroff
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

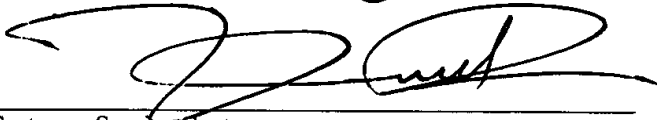
The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
 - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

A copy of this notice MUST be returned with the reply.



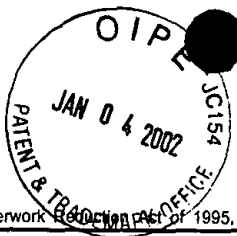


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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2010-07-27 10:50



Section
\$3

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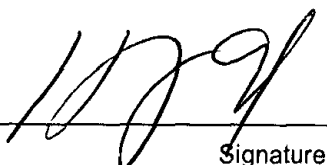
PTO/SB92 (08-00)
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U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Certificate of Mailing under 37 CFR 1.8

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**Assistant Commissioner for Patents
Washington, D.C. 20231**

on 10/25/2001
Date


Signature

Howard J. Greenwald
Typed or printed name of person of signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

The following papers listed below are submitted:

Newly executed DECLARATION
Transmittal Form
Certificate of Mailing
Copy of NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION
2 sheets of substitute drawings
Return Postcard
Fee Transmittal
Check

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